



Blue Cross and Blue Shield of Louisiana incorporated as Louisiana Health Service & Indemnity Company.

# Blue Cross and Blue Shield of Louisiana Services Review — Request for Review And Response Companion Guide

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## REVISION HISTORY

Documented revisions are maintained in this document through the use of the Revision History Table shown below. All revisions made to this companion guide after the creation Date are noted along with the date, page affected and reason for the change.

S. No	Date	Page	Description	Change Summary
1	05/05/2011		Initial Document	
2				

## Introduction

This guide was developed by Blue Cross and Blue Shield of Louisiana (BCBSLA) to be used in conjunction with ASC X12N 278-13/278-11 Health care Services Review Request and Response (005010X217) TR3. If the transactions do not meet the specifications outlined in this guide, then BCBSLA may not be able to process those transactions.

### Scope

This companion guide is intended for BCBSLA Trading Partners interested in exchanging HIPAA compliant X12 Health Care Services Review Request and Response information (278-13/278-11 transactions) with BCBSLA. It is intended to be used **in conjunction with** not as a replacement for, the ASC X12N 5010 version of the HIPAA Transaction Technical Report Type 3 (TR3) and are not intended to contradict or exceed X12 standards. It contains information about specific BCBSLA requirements for processing the 278-13/278-11 X12 transactions.

### Overview

This Companion Guide is issued in an effort to provide the trading partners of BCBSLA with the most up-to-date information related to standard transactions. Any and all information in this guide is subject to change at any time without notice. This is applicable to all lines of business within BCBSLA.

This document is designed to assist both technical and business areas of trading partners who wish to exchange HIPAA standard 278-13/278-11 transactions with BCBSLA, Inc. It contains specifications for the transactions, contact information, and other information that is helpful. All instructions in this document are written using information known at the time of publication and will change as necessary to provide the most up-to-date information. The most up-to-date version of the Companion Guide is available on the BCBSLA Web site (<http://www.BCBSLA.com>).

Please be sure to use the most current version of the Companion Guide available at the BCBSLA Web site. BCBSLA is not responsible for the performance of software outside of its installations.

## Blue Card and Federal Employee (FEP) Inquiries

In order to manage inquiries for out-of-state Blue Card holders and Federal employee Program (FEP) Subscribers, BCBSLA accesses **Blue Exchange**, a data management system shared with Blue Cross and Blue Shield plans around the country. This system facilitates the routing of 278-13 Inquiries to the appropriate Blue Cross and Blue Shield Associate and the return of the 278-11 Response to the original TP.



### Important Notices:

**For Batch Submitters:** Submitters of batch X12 files will receive a TA1 and/or 999 Acknowledgement from BCBSLA including those inquiries for Blue Card and Federal Employee Program subscribers. However, 278-11 Responses for out-of-state subscribers or FEP members may take longer to be returned than those in state. Batches received by BCBSLA prior to 9:00 p.m. will have a response returned no later than 7:00 a.m. the next morning.

If Blue Exchange (for OOA and FEP members) is unable to respond within 24 hours, submitters will receive the 278-11 Response after Blue Exchange sends response to BCBSLA.

## Services Review Request Processing

278-13 Requests are only handled in batch mode. Real time mode is not supported at this time. The 278-11 Response provides Services Review status information only at the Patient Event level.

### Acknowledgements

The TA1 Interchange Acknowledgement and the 999 Functional Group Acknowledgement are returned upon the receipt of a 278-13 Services Review Request. Receipt of a positive TA1 and 999 indicates that no TR3 errors are contained within the ISA/IEA Interchange, the GS/GE Functional Group or the ST/SE Transaction Sets of the transmission. If TR3 errors are found within the transmission, a negative TA1 or 999 is returned, identifying the error and the batch Transaction is rejected. The Introduction to the Companion Guide contains more information on the use of the TA1 and 999 Transactions.

See the Reports section of this chapter for more information about returned transactions for BCBSLA business Edits.

### Batch Data Retention

Data from inquiries that are rejected with a TA1 or 999 Transaction is not retained. Data from inquiries that are accepted are retained for 90 days from the date of receipt.



#### Important Notice:

BCBSLA does not rebind batches for response with the same inquiries as received. Submitters should provide the Service Trace Number (2000E TRN02 or 2000F TRN02), so that they can associate submitted requests to their respective responses.

### Provider Identifier

A 278-13 Request must include the NPI or Electronic Transmitter Identification Number (ETIN) to receive a 278-11 Response (In the 278-13 Service Review Request, See 2010B NM108, value “XX” or “46”).

### Business Edits

BCBSLA business edits are effective after the transmission has passed TR3 validation. BCBSLA business edits include levels of security validation at the Transaction Set level of the transmission. Transactions that have identified business edit errors return a 278-11 Transaction with a Reject Reason Code in the AAA segments identifying the problem.

## BCBSLA SPECIFIC BUSINESS RULES AND LIMITATIONS

This section describes the business rules and limitations of the 278-13/278-11 application.

All references to the ASC X12N 278-13/278-11 TR3 assume the version referenced in [Introduction](#) of this Companion guide.

### GENERAL STRUCTURAL NOTES

- Trading Partners should follow the ST/SE guidelines outlined in the 278-13 section of the TR3.
- Trading Partners should follow the ISA/IEA, GS/GE, TA1, and 999 guidelines for HIPAA in B.1.1.4 of the Front Matter of the TR3.

- Trading Partners must follow the character set guidelines as defined in section B.1.1.2.5 of the TR3.
- BCBSLA strongly recommends that Trading Partners use the preferred 278-13 request transaction delimiters in Table 1.

**Table 1– Preferred 278-13 Request Transaction Delimiters**

Character	Name	Delimiter
*	Asterisk	Data Element Separator
:	Pipe	Component Element Separator
~	Tilde	Segment Terminator
{	curly brackets	Repetition Separator

- Each transaction may contain more than one Patient Request. Each 278-13 request must have only one ISA/IEA, one GS/GE, one or more ST/SE, and a single/more than single 2000C/D/E/F Loops.
- The 278-11 response is based upon information obtained from the BCBSLA database at the time of inquiry and is not considered a guarantee of payment.
- The 278-13/278-11 application will construct 278-11 responses with the preferred delimiters as noted in Table 1 of this companion guide.

### Levels of Error Reporting

Incoming 278-13 Transactions are edited to ensure that they comply with HIPAA X12N regulation and with BCBSLA business and security processes. The table below illustrates the type of transaction used in response to different levels of error reporting.

Transaction Structure Level of Error	Type of Error	Transaction Returned
ISA/IEA Interchange Control	<ul style="list-style-type: none"> <li>• Invalid Message</li> <li>• Invalid Batch ID</li> <li>• Inactive Message</li> <li>• Improper Batch Structure</li> </ul>	TA1
GS/GE Segment ST/SE Segment Detail Segments	HIPAA TR3 Violations	999
Detail Segments	<ul style="list-style-type: none"> <li>• BCBSLA Business Edits</li> </ul>	278

### Automated 278 Responses from BCBSLA--

If a 278-13 Review is received, BCBSLA can process the request and provide an automated response on the 278-11; however, the following optional values need to be included in the review in order to receive an automated response:

- Facility Code Value in Loop 2000E, UM04-1
- Service Type Code in Loop 2000E, UM03
- Level of Service Code in Loop 2000E, UM06
- Event Date in Loop 2000E - DTP segment (or next)
- Service Date in Loop 2000F - DTP Segment
- Service Provider Name in Loop 2010F - NM109 Segment
- Service Level Procedure Code in Loop 2000F - SV202-2, SV202-8

**Note: 1) The 278-11 BCBSLA automated response will be returned with “CT” in the HCR01 segment.**

**2) 278 responses sent from other Plans may not be considered final and can be followed-up with a letter, phone call, etc. Please contact the appropriate BCBS Plan for status.**

## Control Segments/Envelope Specifications – 278 Inbound

Technical Report Type 3 5010 WPC Version 5 Release 1				
<i>Data Element ID</i>	<i>Data Element</i>	<i>Size</i>	<i>Value</i>	<i>Notes/Comments</i>
ISA01	Authorization Information Qualifier	2/2	00	
ISA02	Authorization Information	10/10		
ISA03	Security Information Qualifier	2/2	00	
ISA04	Security Information	10/10		
ISA05	Interchange ID Qualifier	2/2	ZZ	
ISA06	Interchange Sender ID	15/15		(Same as GS02) TP number assigned by BCBSLA. Field is fixed length requiring 15 positions and must be left justified.
ISA07	Interchange ID Qualifier	2/2	ZZ	
ISA08	Interchange Receiver ID	15/15	BCBSLA001	Field is fixed length requiring 15 positions and must be left justified.
ISA09	Interchange Date	6/6		Interchange Date in YYMMDD format
ISA10	Interchange Time	4/4		Interchange Time in HHMM format
ISA11	Repetition separator	1/1	{	BCBSLA accepts only “{” at this time.
ISA12	Interchange Control Version Number	5/5	00501	
ISA13	Interchange Control Number	9/9		Sender determines the Control number; must match IEA02.
ISA14	Acknowledgment Request	1/1	0,1	0 = No 1 = Yes (TA1) TA1 acknowledgements will NOT be utilized by BCBSLA for files accepted for processing. You will automatically receive a TA1 report for files that cannot be processed or submitted for HIPAA
ISA15	Usage Indicator	1/1	P, T	P=Production, T=Test
ISA16	ISA16	1/1	:	

ISA  
Interchange  
Control  
Header  
Segment



Control Segments/Envelope Specifications – 278 Inbound, Continued

Technical Report Type 3 5010 WPC Version 5 Release 1				
<i>Data Element ID</i>	<i>Data Element</i>	<i>Size</i>	<i>Value</i>	<i>Notes/Comments</i>
GS01	Functional Identifier Code	2/2	HI	
GS02	Application Sender's Code	2/15		(Same as ISA06) TP number assigned by BCBSLA
GS03	Application Receiver's Code	2/15	BCBSLA001	
GS04	Date	8/8		Functional Group Creation Date in CCYYMMDD Format
GS05	Time	4/8		Functional Group Creation Time in HHMM Format
GS06	Group Control Number	1/9		Assigned by the Sender. (GS06 must be identical to GE02)
GS07	Responsible Agency Code	1/2	X	
GS08	Version/Release/Industry Identifier Code	1/12	005010X217	
GE01	Number of Transaction Sets Included	1/6		Count of ST in the Transaction
GE02	Group Control Number	1/9		Assigned by the Sender. (GS06 must be identical to GE02)

**GS  
Functional  
Group  
Header  
Segment**

## Control Segments/Envelope Specifications – 278 Outbound

Technical Report Type 3 5010 WPC Version 5 Release 1					
<i>Data Element ID</i>	<i>Data Element</i>	<i>Size</i>	<i>Value</i>	<i>Notes/Comments</i>	
ISA01	Authorization Information Qualifier	2/2	00		
ISA02	Authorization Information	10/10		Space filled.	
ISA03	Security Information Qualifier	2/2	00		
ISA04	Security Information	10/10		Space filled.	
ISA05	Interchange ID Qualifier	2/2	ZZ		
ISA06	Interchange Sender ID	15/15	BCBSLA001	Field is fixed length requiring 15 positions and must be left justified.	
ISA07	Interchange ID Qualifier	2/2	ZZ		
ISA08	Interchange Receiver	15/15		TP ID (Same as GS03). TP number assigned by BCBSLA. Field is fixed length requiring 15 positions and must be left justified.	
ISA09	Interchange Date	6/6		Interchange Date in YYMMDD format	
ISA10	Interchange Time	4/4		Interchange Time in HHMM format	
ISA11	Repetition Separator	1/1	{		
ISA12	Interchange Control Version Number	5/5	00501		
ISA13	Interchange Control Number	9/9		Assigned by the Sender. (ISA13 must be identical to IEA02)	
ISA14	Acknowledgment Request	1/1	0	TA1 acknowledgements will NOT be utilized by BCBSLA for files accepted for processing. You will automatically receive a TA1 report for files that cannot be processed or submitted for HIPAA validation.	
ISA15	Usage Indicator	1/1	P, T	T (Test Data) P (Production Data)	
ISA16	Component Element Separator	1/1	:		

## Control Segments/Envelope Specifications – 278 Outbound, Continued

Technical Report Type 3 5010 WPC Version 5 Release 1					
<i>Data Element ID</i>	<i>Data Element</i>	<i>Size</i>	<i>Value</i>	<i>Notes/Comments</i>	
GS01	Functional Identifier Code	2/2	HI		
GS02	Application Sender's Code	2/15	BCBSLA001		
GS03	Application Receiver's Code	2/15		Trading Partner ID (Same as ISA08) TP number assigned by BCBSLA	
GS04	Date	8/8		Functional Group Creation Date in CCYYMMDD Format	
GS05	Time	4/8		Functional Group Creation Time in HHMM Format	
GS06	Group Control Number	1/9		Assigned by the Sender. (GS06 must be identical to GE02)	
GS07	Responsible Agency Code	1/2	X		
GS08	Version/ Industry Identifier Code	1/12	005010X217		
GE01	Number of Transaction Sets Included	1/6		Count of ST in the Transaction	
GE02	Group Control Number	1/9		Assigned by the Sender. (GS06 must be identical to GE02)	

GS  
Functional  
Group  
Header  
Segment

## Transaction Specifications – 278 Inbound

Technical Report Type 3 5010 WPC Version 5 Release 1				
Data Element ID	Data Element	Size	Value	Notes/Comments
ST01	Transaction Set Identifier Code	3/3	278	
ST02	Transaction Set Control Number	4/9		Assigned by the Sender (Use the corresponding value in SE02 for this transaction set).
ST03	Implementation Convention Reference	1/35	005010X217	
SE01	Number of Included Segments	1/10		Segment count between ST-SE (count includes ST and SE segments)
SE02	Transaction Set Control Number	4/9		Assigned by the Sender (Use the corresponding value in SE02 for this transaction set).

## Transaction Specifications – 278 Outbound

Technical Report Type 3 5010 WPC Version 5 Release 1				
Data Element ID	Data Element	Size	Value	Notes/Comments
ST01	Transaction Set Identifier Code	3/3	278	
ST02	Transaction Set Control Number	4/9		Assigned by the Sender (Use the corresponding value in SE02 for this transaction set).
ST03	Implementation Convention Reference	1/35	005010X217	
SE01	Number of Included Segments	1/10		Segment count between ST-SE (count includes ST and SE segments)
SE02	Transaction Set Control Number	4/9		Assigned by the Sender (Use the corresponding value in SE02 for this transaction set).

## 278-13/278-11-ASC X12N- Health Care Services Request and Response

	TR3 Page #	Loop ID	Element	Name	Codes	Length	Comments
278-13/278-11 Data Elements	68		BHT03	Reference Identification			Unique number used to identify transactions.
	70-71	2010A	NM101	Entity Identifier Code	PR	2/3	Use <b>X3</b> - Utilization Management Organization
	73		NM108	Identification Code Qualifier	PI	1/2	Use <b>PI</b> - Payer Identification code
	73		NM109	Identification Code	53120	2/80	Enter BCBSLA NAIC Number
	77	2010B	NM103	Name Last/ Organization Name		1/60	This field is always Required.
	77		NM104	Name First		1/35	This field is Required & must be entered only when NM102=1(Individual)
	77		NM108	Identification Code Qualifier	46 or XX	1/2	
	77		NM109	Information Source Identifier		2/80	When NM108=46, Enter the Provider's ETIN Number assigned by BCBSLA which identifies your organization. And When NM108=XX, Enter the NPI Number.
	92	2010C	NM103	Subscriber Last Name		1/60	This information is Required when Subscriber is the Patient.
	92		NM104	Name First		1/35	This information is Required when Subscriber is the Patient.
	93		NM108	Identification Code Qualifier	MI	1/2	Use <b>MI</b> -- Member Identification Number

## 278-13/278-11 ASCX12N- Health Care Services Request and Response, Continued

TR3 Page #	Loop ID	Element	Name	Codes	Length	Comments
93	2010C	NM109	Identification Code		2/80	To ensure correct matching of data, the 3-byte alpha prefix <b>must</b> be included with the member ID number (BCBS contract #number).
100	2010C	DMG02	Subscriber Birth Date		1/35	This information is Required when Subscriber is the Patient.
106	2010D	NM103	Dependent Last Name		1/60	This information is Required when Dependent is the Patient.
106	2010D	NM104	Name First		1/35	This information is Required when Dependent is the Patient.
113	2010D	DMG02	Dependent Birth Date		1/35	This information is Required when Dependent is the Patient.
119	2000E	TRN02	Patient Event Tracking Number		1/50	This information is Required whoever the Patient is.
121	2000E	UM02	Certification Type Code		1/1	
121	2000E	UM03	Service Type Code		1/2	

278-13/278-11 Data Elements

**278-13/278-11 ASCX12N- Health Care Services Request and Response, Continued**

	<i>TR3 Page #</i>	<i>Loop ID</i>	<i>Element</i>	<i>Name</i>	<i>Codes</i>	<i>Length</i>	<i>Comments</i>
<b>278-11 Data Elements, Continued</b>	305		BHT06	Transaction Type Code	18	2/2	18-Response - No Further Updates to Follow
	314	2010A	PER03	Communication Number Qualifier	TE	2/2	
	314	2010A	PER04	Communication Number	8005236435	1/256	For further inquires, Trading Partner can contact this Phone Number
	374	2000E	HCR01	Action Code	CT		CT-- Contact Payer
	431	2000E	MSG01	Free-form Message Text			YOUR REQUEST HAS BEEN RECEIVED AND ANY ADDITIONAL INFORMATION IS PROVIDED OUTSIDE OF 278 TRANSACTIONS.

**ACKNOWLEDGEMENTS, ERROR CODES AND/OR REPORTS**

The BCBSLA Clearinghouse provides a series of reports to assist in the tracking and monitoring of transactions. Clearinghouse reports are a **critical** part of the electronic submission process.

Trading Partners that dial up can create scripts to automatically retrieve these reports, whereas trading partners who use secure FTP must download them unless the FTP software is configured to pull them automatically.

The Trading Partner is responsible for monitoring all reports to ensure that all transactions were received and accepted for processing by BCBSLA. In addition, the Trading Partner is required to take corrective action when necessary. All questions regarding reports should be directed to EDI Customer Operations at 225-291-4EDI (4334).

We recommend that you maintain a copy of these reports for at least 60 days.

**Summary of Reports – Service Review Request and Response Transactions:**

1. Communication Report: File Acknowledgement(TA1)
2. Functional Acknowledgement Report: ANSI 999

## Communication Reports

Communication Reports are an immediate acknowledgement of successful communication and receipt of transmitted files. They are the first step in the reconciliation process. They are not an indication that the transmitted files were accepted for processing. The Trading Partner is responsible for monitoring the reports and ensuring that all transactions submitted were received by the BCBSLA Clearinghouse.

If you do not receive a communication report, we did not receive the transmission and the transmission will need to be resent.

### TA1

The TA1 Interchange Acknowledgement is used by the 278 application to communicate the rejection of a 278-13 request transaction based on errors encountered with X12 compliance, formatting, or BCBSLA specific requirements of the ISA/IEA or GS/GE Interchange segments.

The TA1 interchange acknowledgement will be used to verify the syntactical accuracy of the envelope of the X12 interchange. The TA1 interchange will indicate that the file was successfully received; as well as indicate what errors existed within the envelope segments of the received X12 file.

A TA1 response message will always contain the ISA and IEA segments. However, if the error occurs in the functional group header or trailer (GS/GE) segments of the received X12 file, then the TA1 response will also contain these elements.

The following sample File Acknowledgement Reports (TA1) is presented on subsequent pages:

- Accepted File Acknowledgement
- Rejected File Acknowledgement

### Examples of File Acknowledgements (TA1)

#### Accepted Acknowledgement

TA1\*000000092\*101203\*0828\*A\*000~

TA1--	Interchange Acknowledgment
000000092--	Interchange Control Number
101203--	Interchange Date
0828--	Interchange Time
A --	Interchange Acknowledgment Code ( A or E)
000--	Interchange Note Code

**KEY:**

- Items That Vary (See the TR3 to view a list of available codes/options.)
- ~ Segment Terminator
- \* Element Separator



## Rejected Acknowledgement

TA1\*00000092\*101203\*0828\*R\*001~

TA1--	Interchange Acknowledgment
000000092--	Interchange Control Number
101203--	Interchange Date
0828--	Interchange Time
R --	Interchange Acknowledgment Code (A or R or E)
001--	Interchange Note Code

### KEY:

Items That Vary (See the TR3 to view a list of available codes/options.)  
~ Segment Terminator  
\* Element Separator

## File Acknowledgement Report

This report indicates that the BCBSLA Clearinghouse has received a transaction. File Acknowledgement Reports are returned for *all* transactions.

This report is titled Comm.rpt and indicates the size of the file that the BCBSLA clearinghouse received from the Trading Partner and the time we received it.

The File Acknowledgement Report is presented on the next page.

### Example of File Acknowledgement Report

Filename: *Comm.rpt*



**NOTE: PLEASE CHECK BACK FOR FUTURE UPDATES TO THIS PAGE**

## Functional Acknowledgement Report

### ANSI 999

The Functional Acknowledgement Report is titled *X12Ntrans.out* and indicates the validity of a standard X12N transaction. Trading Partners will be able to pull this report the next time they connect to the BCBSLA Clearinghouse. All Trading Partners will receive this report.

All Trading Partners will be responsible for reviewing this report to ensure that the files are accepted. If rejected, the Trading Partner must correct the errors and retransmit the file.

The following sample Functional Acknowledgement Reports (999) are presented on subsequent pages:

- Accepted Functional Acknowledgement
- Rejected Functional Acknowledgement
- Partial Functional Acknowledgement
- Validation Report

**Examples of Functional Acknowledgements (999)**

Filename: **X12Ntrans.out**

**Accepted Acknowledgement**

ST\*999\*0001\*005010X231A1~AK1\*HS\*1\*005010X217~AK2\*270\*0001\*005010X217~IK5\*A~AK9\*A\*1\*1\*1~SE\*6\*0001~

- ST – Transaction Set Header
- 999 – Transaction Set Identifier
- 0001 – Transaction Set Control Number
- 005010X231A1 -- 999 Version / Release / Industry Identifier Code
  
- AK1 – Functional Group Response Header
- HI – Functional Identifier Code (HI = Health Care Services Review Information)
- 1 – Group Control Number
- 005010X217-- 278 Version / Release / Industry Identifier Code
  
- AK2 – Transaction Set Response Header
- 270 – Transaction Set Identifier Code
- 0001 – Transaction Set Control Number
- 005010X217-- 278 Version / Release / Industry Identifier Code
  
- IK5 – Transaction Set Response Trailer
- A – Transaction Set Acknowledgement Code (Accepted Codes include A and E)
  
- AK9 – Functional Group Response Trailer
- A – Functional Group Acknowledgement Code
- 1 – Number of Transaction Sets Included
- 1 – Number of Received Transaction Sets
- 1 – Number of Accepted Transaction Sets
  
- SE – Transaction Set Trailer
- 6 – Number of Included Segments
- 0001 – Transaction Set Control Number

**KEY:**

- Items That Vary (See the TR3 to view a list of available codes/options.)
- ~ Segment Terminator
- \* Element Separator

**Rejected Acknowledgement**

ST\*999\*0001\*005010X231A1~AK1\*HI\*410834725\*005010X217~AK2\*278\*728087203\*005010X217~IK3\*TOO\*24\*200  
 0\*I9~CTX\*SITUATIONAL  
 TRIGGER\*SV3\*23~CTX\*NM109:XUP123373963~IK4\*1\*1270\*7\*AA~IK5\*R\*I5~AK9\*R\*1\*1\*0~SE\*10\*0001~

ST –	Transaction Set Header
999 –	Transaction Set Identifier
0001 –	Transaction Set Control Number
005010X231A1 --	999 Version / Release / Industry Identifier Code
AK1 –	Functional Group Response Header
HI –	Functional Identifier Code (HI = Health Care Services Review Information)
410834725 –	Group Control Number
005010X217--	278 Version / Release / Industry Identifier Code
AK2 –	Transaction Set Response Header
278 –	Transaction Set Identifier Code
728087203 –	Transaction Set Control Number
005010X217--	278 Version / Release / Industry Identifier Code
IK3 –	Data Segment Note
TOO –	Segment ID Code
24 –	Segment Position in Transaction Set
2000C –	Loop Identifier Code
I9 –	Segment Syntax Error Code
CTX -	SEGMENT CONTEXT
SITUATIONAL TRIGGER--	Context Name
SV3--	Segment ID Code
23--	Segment Position in Transaction Set
CTX -	BUSINESS UNIT IDENTIFIER
NM109:XUP123373963	Context Name and Reference
IK4 –	Data Element Note
1 –	Position in Segment
1270 –	Data Element Reference Number
7 –	Data Element Syntax Error Code
IK5 –	Transaction Set Response Trailer
R –	Transaction Set Acknowledgement Code (Rejected codes include M, R, W and X)
I5 –	Implementation One or More Segments in Error
AK9 –	Functional Group Response Trailer
R –	Functional Group Acknowledgement Code
1 –	Number of Transaction Sets Included
1 –	Number of Received Transaction Sets
0 –	Number of Accepted Transaction Sets

SE – Transaction Set Trailer  
 8 – Number of Included Segments  
 0001 – Transaction Set Control Number

**KEY:**

Items That Vary (See the TR3 to view a list of available codes/options.)  
 ~ Segment Terminator  
 \* Element Separator

**Partial Acknowledgement**

ST\*999\*0001\*005010X231A1~AK1\*HI\*1\*005010X217~AK2\*278\*0001\*005010X217~IK5\*A~AK2\*278\*0002\*005010X217~IK3\*DTP\*11\*2100\*8~CTX\*TRN02:9994defectRON203~IK4\*2\*1250\*7\*12~IK5\*R\*I5~AK9\*P\*2\*2\*1~SE\*11\*0001~GE\*1\*2~IEA\*1\*000001286~

In the example above, the original 278 file contained 2 ST/SE with a single business item in each ST/SE.

- The First ST/SE Business item was accepted.
- The Second ST/SE and Business Item was Rejected.

In this situation the AK9 will show partial because the file contained ST/SE with single business items so when one of the business items rejected, it shows the entire ST/SE as rejected.

AK9 – Functional Group Response Trailer  
 P – Partially Accepted, At Least One Transaction Set Was Rejected  
 2 – Number of Transaction Sets Included  
 2 – Number of Received Transaction Sets  
 1 – Number of Accepted Transaction Sets

**KEY:**

Items That Vary (See the TR3 to view a list of available codes/options.)  
 ~ Segment Terminator  
 \* Element Separator

**Validation Reports**

Trading Partners will be able to pull this report the next time they connect to the BCBSLA Clearinghouse. All Trading Partners will receive this report when the Request fails at ST/SE level. They will receive this Report in addition to the 999 Transaction.

C:\Documents and Settings\c20100\Desktop\201106300904\_DWEHTML\_04BF72B5-084B-4CEF-90BC-4BEE8F1D - Windows Internet Explorer pro

C:\Documents and Settings\c20100\Desktop\201106300904\_DWEHTML\_04BF72B5-084B-4CEF-90BC-4BEE8F1D.CDA.html

File Edit View Favorites Tools Help

C:\Documents and Settings\c20100\Desktop\2011063...

Page Tools

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**Data With Error Report** Powered by Edifecs

Executed Thursday, June 30, 2011 02:04:10 PM (GMT)  
D:\Edifecs\XE\Server\service\933A9F19-E367-466E-830F-8D4C8AB6B7B0

This report shows the results of a submitted data file validated against corresponding guidelines. If there are errors, you must fix the application that created the data file and then generate and submit a new data file.

**Report Summary**

**Total Errors: 2**  
**Total Warnings: 0**  
**Total Informations: 0**

Error Count By WEDI SNIP Type		
SNIP Type	SNIP Name	Counts
0	System	0
1	EDI Syntax	2 Errors 0 Warnings 0 Informations
2	HIPAA Syntax	0
3	Balancing	0
4	Situational	0
5	External Code Set	0
6	Line of Service	0
7	Partner Specific	0

#	Data
	<b>EntireDocument start</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p style="text-align: center;"><b>Errors at EntireDocument level:</b> Errors: 0 Warnings: 0 Informations: 0</p> </div> <div style="width: 45%;"> <p>Interchange Received: 1 Interchange Accepted: 0</p> </div> </div>
	<b>1 Interchange start</b> Guideline file: D:\Edifecs\XEngine\config\BCBSLA\guidelines\BCBSLA_5010_ISA.ecs <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p style="text-align: center;"><b>Errors at Interchange level:</b> Errors: 0 Warnings: 0 Informations: 0</p> </div> <div style="width: 45%;"> <p>Group Received: 1 Group Accepted: 0</p> </div> </div> <div style="display: flex; justify-content: space-between; margin-top: 5px;"> <div style="width: 45%;"> <p>Sender ID: 123 Receiver ID: 456 Control Number: 019432589 Date: 110630</p> </div> <div style="width: 45%;"> <p>Sender Qualifier: ZZ Receiver Qualifier: ZZ Version: 00501 Time: 0528</p> </div> </div>
1	ISA*00* *00* *ZZ*123 *ZZ*456 *110630*0528*(*00501*019432589*0*T*: <b>1.1 Group start</b> Guideline file: D:\Edifecs\XEngine\config\BCBSLA\guidelines\BCBSLA_5010_GS.ecs <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p style="text-align: center;"><b>Errors at Group level:</b> Errors: 0 Warnings: 0 Informations: 0</p> </div> <div style="width: 45%;"> <p>Transaction Received: 1 Transaction Accepted: 0</p> </div> </div> <div style="display: flex; justify-content: space-between; margin-top: 5px;"> <div style="width: 45%;"> <p>Sender ID: 1234 Control Number: 19432589 Date: 20110630</p> </div> <div style="width: 45%;"> <p>Receiver ID: 5678 Version: 005010X279A1 Time: 0528554</p> </div> </div>
2	GS*HS*1234*5678*20110630*0528554*19432589*X*005010X279A1 <b>1.1.1 Transaction start</b> Guideline file: D:\Edifecs\XEngine\config\BCBSLA\guidelines\BCBSLA_5010_270_X279A1.ecs <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p style="text-align: center;"><b>Errors at Transaction level:</b> Errors: 2</p> </div> <div style="width: 45%;"> <p>Control Number: 0001 Transaction ID: 270</p> </div> </div>

My Computer 100%

**Data With Error Report** Powered by Edifecs  
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 D:\Edifecs\XE\Server\service\933A9F19-E367-466E-830F-8D4C8AB6B7B0

This report shows the results of a submitted data file validated against corresponding guidelines. If there are errors, you must fix the application that created the data file and then generate and submit a new data file.

Report Summary		
<b>Total Errors:</b>	2	
<b>Total Warnings:</b>	0	
<b>Total Informations:</b>	0	

Error Count By WEDI SNIP Type		
SNIP Type	SNIP Name	Counts
0	System	0
1	EDI Syntax	2 Errors 0 Warnings 0 Informations
2	HIPAA Syntax	0
3	Balancing	0
4	Situational	0
5	External Code Set	0
6	Line of Service	0
7	Partner Specific	0

#	Data
	<b>EntireDocument start</b> <div style="display: flex; justify-content: space-between;"> <div> <b>Errors at EntireDocument level:</b>                      Errors: 0                      Warnings: 0                      Informations: 0                 </div> <div>                     Interchange Received: 1                      Interchange Accepted: 0                 </div> </div>
	<b>1 Interchange start</b> Guideline file: D:\Edifecs\Engine\config\BCBSLA\guidelines\BCBSLA_5010_ISA.ecs <div style="display: flex; justify-content: space-between;"> <div> <b>Errors at interchange level:</b>                      Errors: 0                      Warnings: 0                      Informations: 0                 </div> <div>                     Group Received: 1                      Group Accepted: 0                 </div> <div>                     Sender ID: 123                      Receiver ID: 456                      Control Number: 019432589                      Date: 110630                 </div> <div>                     Sender Qualifier: ZZ                      Receiver Qualifier: ZZ                      Version: 00501                      Time: 0528                 </div> </div>
1	ISA*00* *00* *ZZ*123 *ZZ*456 *110630*0528*1*00501*019432589*0*T*: <b>1.1 Group start</b> Guideline file: D:\Edifecs\Engine\config\BCBSLA\guidelines\BCBSLA_5010_GS.ecs <div style="display: flex; justify-content: space-between;"> <div> <b>Errors at Group level:</b>                      Errors: 0                      Warnings: 0                      Informations: 0                 </div> <div>                     Transaction Received: 1                      Transaction Accepted: 0                 </div> <div>                     Sender ID: 1234                      Control Number: 19432589                      Date: 20110630                 </div> <div>                     Reciever ID: 5678                      Version: 005010X279A1                      Time: 0528554                 </div> </div>
2	GS*HS*1234*5678*20110630*0528554*19432589*X*005010X279A1 <b>1.1.1 Transaction start</b> Guideline file: D:\Edifecs\Engine\config\BCBSLA\guidelines\BCBSLA_5010_270_X279A1.ecs <div style="display: flex; justify-content: space-between;"> <div> <b>Errors at Transaction level:</b>                      Errors: 2                 </div> <div>                     Control Number: 0001                 </div> <div>                     Transaction ID: 270                 </div> </div>

## 278-11 Response

When the 278-13 request complies with the X12 standard syntax requirements and all additional formatting rules as specified by this companion guide, then a 278-11 response transaction is returned to the TP.

## Transaction Testing

BCBSLA will require testing with trading partners before accepting production transmissions. The Trading Partner will receive all of the outputs that will be available in a production environment. For example, if a trading partner submits a valid test file, they will receive a Communication Report, a Functional Acknowledgement Report, and a 278-11 response.

Testing will ensure that the data is accurate and formatted properly for processing. Retesting is required if you are upgrading your software, or changing software vendors. BCBSLA reserves the right to revoke production status when Trading Partners' transactions repeatedly cause production errors.

**Testing Steps**

1. BCBSLA distributes a **test** submitter ID, secure password, and dial-up phone number and/or FTP.
2. Trading Partner creates test file representative of all types that will be submitted in production. It is important that valid subscriber/member data is used within the test file; otherwise, the test may fail.
3. Trading Partner reviews the reports and response file BCBSLA provides:
  - Communication Report verifies BCBSLA received the file
  - Functional Acknowledgement Report (999) Accepted or Rejected
  - 278 eligibility response
4. Trading Partner must correct all errors and resubmit the test files until these errors are corrected. For assistance on these errors contact 225-291-4334.
5. Trading Partner must contact 225-291-4334 when the majority of transmissions are accepted. An EDI representative will distribute a **production** submitter ID number and password. .

**Acronyms**

Shortcut used in this entire Document	Actual Meaning
TR3	Technical Report Type 3(Also called as Implementation Guide)
TP	Trading Partner(Also Called as Submitter)

**APPENDICES**

**APPENDIX A – SAMPLE 278-13 5010 BATCH SERVICE REQUEST TRANSACTION**

```

ISA*00*      *00*      *ZZ*TPID   *ZZ*BCBSLA001   *090826*2207*{*00501*410834725*0*T*:~
GS*HI*TPID*BCBSLA001*20090826*2207292*410834725*X*005010X217~
ST*278*728087203*005010X217~
BHT*0007*13*ABCD1234TRAILERCITY*20090826*2203340~
HL*1**20*1~
NM1*X3*2*BCBSLA*****PI*53120~
HL*2*1*21*1~
NM1*1P*2*PROVIDER NAME*****XX*1111111111~
HL*3*2*22*1~
NM1*IL*1*SSSSSSSS*NNNNNNN****MI*XUP212132323~
DMG*D8*19630701*M~
HL*4*3*EV*1~
TRN*1*BX828709082622072928400*993ITSA  ~
UM*SC*I*1*21:B~
    
```

HI\*BK:53081~  
CRC\*11\*Y\*25~  
CR5\*\*\*A\*B\*\*1\*\*\*\*\*87\*N\*\*\*\*\*A~  
PWK\*OB\*VO~  
HL\*5\*4\*SS\*0~  
NM1\*SJ\*2\*PROVIDER NAME\*\*\*\*\*XX\*111111111~  
SE\*19\*728087203~  
GE\*1\*410834725~  
IEA\*1\*410834725~

**APPENDIX B– SAMPLE 278-11 5010 BATCH SERVICE RESPONSE TRANSACTION**

ISA\*00\* 00\* \*ZZ\*BCBSLA001 \*ZZ\*TPID \*110509\*1144\*{\*00501\*000000026\*1\*T\*:~  
GS\*HI\*BCBSLA001\*TPID\*20110509\*1144\*2\*X\*005010X217~  
ST\*278\*10194\*005010X217~  
BHT\*0007\*11\*ABCD1234TRAILERCITY\*20110509\*0644\*18~  
HL\*1\*\*20\*1~  
NM1\*X3\*2\*BCBSLA\*\*\*\*\*PI\*53120~  
PER\*IC\*\*TE\*8005236435~  
HL\*2\*1\*21\*1~  
NM1\*1P\*2\*PROVIDER NAME\*\*\*\*\*XX\*111111111~  
HL\*3\*2\*22\*1~  
NM1\*IL\*1\*SSSSSSSS\*NNNNNNN\*\*\*\*MI\*XUP212132323~  
DMG\*D8\*19600706~  
HL\*4\*3\*EV\*1~  
TRN\*2\*BX828709082622072928400\*993ITSA ~  
UM\*SC\*I\*1\*21:B~  
HCR\*CT~  
MSG\*YOUR REQUEST HAS BEEN RECEIVED AND ANY ADDITIONAL INFORMATION IS PROVIDED OUTSIDE OF 278  
TRANSACTION~  
HL\*5\*4\*SS\*0~  
NM1\*SJ\*2\*PROVIDER NAME\*\*\*\*\*XX\*111111111~  
SE\*18\*10194~  
GE\*1\*2~  
IEA\*1\*000000026~